



PATENT  
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Michael E. Connors

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Brian Seed and Yi Yang	Confirmation No.	4930
Serial No.:	10/521,634	Art Unit:	1632
371(c) Date:	October 11, 2005	Examiner:	Michael C. Wilson
Customer No.:	21559		
Title:	METHODS FOR THE PRODUCTION OF CELLS AND MAMMALS WITH DESIRED GENETIC MODIFICATIONS		

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DECLARATION UNDER 37 C.F.R. § 1.131 OF DR. BRIAN SEED

1. I am a named co-inventor of claims 1-12, 18, and 19 of the above-identified application, and I am a Professor at the Harvard Medical School and the Massachusetts General Hospital. The Massachusetts General Hospital Corporation is the Assignee of the above-identified application.
  
2. Prior to September 15, 2001, I and the co-inventor, or individuals under my supervision carried out experiments that are described in the attached notebook pages

(Exhibit 1; all dates have been redacted from Exhibit 1). Exhibit 1 describes the experimental methods used for characterization by fluorescence in situ hybridization (FISH) of ES cells into which an artificial chromosome has been inserted according to the methods described in the present application. In particular, these experiments were carried out by inserting into a mammalian cell an artificial chromosome containing a cassette that includes first and second regions of homology having at least 90% sequence identity to first and second regions of an endogenous chromosome of the mammalian cell and a selectable marker under conditions that result in homologous recombination between the artificial chromosome and the endogenous chromosome, resulting in integration of the cassette into the endogenous chromosome of the mammalian cell. As described in the specification (for example, at page 39, line 25, to page 40, line 29), and as illustrated in Figure 12 (copy enclosed as Exhibit 2) of the specification as filed, FISH analysis was used to confirm the proper integration of the cassette in ES cells. Exhibit 1 describes the preparation of ES cells for FISH analysis, including hybridization with a probe specific for the inserted cassette, thereby confirming that a genetically modified mammalian cell had been produced in accordance with the presently claimed methods.

3. The above experiments were carried out in the United States and completed before September 15, 2001.

4. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Date: August 10, 2008

  
\_\_\_\_\_  
Dr. Brian Seed

## EXHIBIT 1

FISH

## 1. Fix cells.

- V323 PBS, CSK, CSK + 0.5% Triton - 4°C  
 4% paraformaldehyde (1:17.4)  
 acetone twice. → check p.  
 - slides in PBS 5 min. 4°C  
 - CSK buffer (cold) 1 min.  
 - ice-cold CSK + 0.5% Triton 1 min.  
 - ice-cold CSK 1 min.  
 - 4% paraformaldehyde 10 min. R/T.  
 - 70% EtOH 5 min.  
 - 70% EtOH 0/V. 4°C.

V323: S-gel wash. 7.2% TGA pbf so

## 2. Preparation of Probe

- denature at 75°C 10 min.
- preanneal at 42°C 20 min - com.

## 3. Preparation of Slides

V323: 70% formamide  
 2x SSC

## - dehydrate slide

- 80% EtOH 5 min.
- 95% EtOH 5 min.
- 100% EtOH 5 min.

- Denature DNA in 7% formamide, 2xSSC  
 at 75-80°C 10 min.

V323: 1. Place slide in strip vial at 75°C w/ npg.  
 2. Before put slide in. Warms slide at 50°C  
 heat blot for 2 min.

## EXHIBIT 1

- in ice-cold EtOH:
  - 70% EtOH 2 min.
  - 80% EtOH 2 min.
  - 100% EtOH 2 min.
  - 100% EtOH 2 min.
- air dry slide at R/T

## 4. Hybridization

- warm slide at 40°C Heat blot.
- pipette 4-6 μl probe DNA (single) on to each well.
- <sup>R/T</sup>:  
 1. ~~slide~~ ~~1/2~~ ~~slide~~ ~~up~~  
 2. slide - ~~to~~ ~~to~~ 40°C heat blot.
- Cover w/ cover slips.
- put in tissue culture & incubate 37°C overnight.

## 5. Wash.

- <sup>R/T</sup>: - 1 drop per well washer bath. ~~slide~~ ~~1/2~~ ~~slide~~
- 50% formamide excess 3X SSC wash
- 2X SSC ~~for now~~ ~~not used~~
- 3X 50% formamide 2X SSC 45°C. shake at water bath 10 min.
- 3X 2X SSC 295°C 10 min.
- Block at 4X SSC 0.1% Tween 20. R/T 10 min.
- 150 μl of 1% BSA (use 100x BSA). 4X SSC. 0.1% Tween 20 with 1:50 (Fab fragments) 30 min. 37°C
- wash 3X 10 min. 45°C w/ 4X SSC 0.1% Tween 20.
- add 2-3 μl DAPI into 50 ml 4X SSC. slide in for 2 min.
- wash 1 X 4X SSC R/T 2 min.

**EXHIBIT 1**

FISH

1. Fix cells

- add 5 ml of trypsinized ES cells on each wells of slide.
- Air dry ( $\approx 20$  min.)
- slide (in PBS) R/T 5 min
- 4% paraformaldehyde 10 min R/T
- 70% EtOH 5 min R/T
- 70% EtOH 0/n 4°C

2. Hybridization

probe

- denature probe at 75°C 10 min
- preanneal at 42°C (random labeling)  $> 20$  min.  
or at 37°C (nick translation labeling)  $> 20$  min.
- slides
- dehydrate slide  
 $80\%$  EtOH  $\rightarrow$   $95\%$  EtOH  $\rightarrow$   $100\%$  EtOH 5 min.  
5 min each out R/T
- denature DNA slide  
in 70% formamide 2X SSC  
 $75 \sim 80^\circ\text{C}$  10 min.
- dehydrate again in ice cold EtOH  
ice cold  $70\%$  EtOH  $\rightarrow$   $80\%$  EtOH  $\rightarrow$   $95\%$  EtOH  $\rightarrow$   $100\%$  EtOH  $\rightarrow$   $100\%$  EtOH (2X)  
2 min each out R/T
- Air dry slide at R/T
- warm dry slide on  $40^\circ\text{C}$  heat Blt.
- 12-pette 3-4 ml of probe to each well
- cover w/ cover slip
- leave at tissue culture incubate  $37^\circ\text{C}$  0/n

3. Wash.

- |     |       |     |                    |          |
|-----|-------|-----|--------------------|----------|
| 3 X | 2 X   | SSC | $72^\circ\text{C}$ | 5-7 min  |
| 1 X | 0.2 X | SSC | $72^\circ\text{C}$ | 5-7 min. |

## EXHIBIT 1

- Digest pNRL5 (mt-Taq 5' BglII-Eco (210kb),) with BglII  
100 ml RxBV.

10 ml 10X High Buffer  
 20 ml pNRL5 (1ug/ml)  
 10 ml BglII (400 unit/ml)  
 60 ml H2O  
 100 ml 37°C 2 hours



- add 100 ml phenol: mix
- Spin down
- add EtOH / NaAc
- R/S in 40 ml TG → 300ug/λ

- Digest Sam68-SalI religate plasmid with SalI.

100 ml RxBV  
 10 ml 10X High Buffer  
 3.5 ml Sam68-SalI religate DNA (5 μg/ml)  
 10 ml SalI (10 unit/ml)  
 77 ml H2O  
 100 ml 37°C 0/hr.



- add 100 ml phenol
- spin down
- 1:1 EtOH / NaAc
- R/S in 40 ml TG → 150ug/λ

EXHIBIT 11<sup>a</sup>

## FISH probe labeling (Teamie's protocol)

- 5X Reaction Buffer

92 ml 5X nucleotide buffer  
8 ml dNTP - 11 - dUTP

- Rxn mix

1, Teamie's probe (15 kb)

300ng 10 μl primer

15 μl DnaA (20 ng/λ)

14 μl H<sub>2</sub>O

39 λ

2, Sam 6.8 pac.

10 μl primer

200ng 1 μl Sam 6.8 pac (0.4 ng/λ)

28 μl H<sub>2</sub>O

39 λ

3, T-T<sub>n</sub> pac

10 μl primer

0.5 μl T-T<sub>n</sub> pac (0.6 ng/λ)

28.5 μl H<sub>2</sub>O

39 λ

4, T-T<sub>n</sub> (Bgl II-Eco 5') - 10 kb

10 μl primer

T-T<sub>n</sub> (0.3 ng/λ)

28 μl H<sub>2</sub>O

39 λ

- heat at 100°C 5 min.

- spins down on ice

- add 10 μl 5X Reaction Buffer

2 μl Klenow

- 37°C 30 min.

- add 2 μl stop mix

add 1:50 (probe: Col DNA) Col I Dna A (2 μl → 15 μl)

add 2 μl of EtOH/MeAc 5:1 (2 μl of EtOH, 1/2 M MeAc)

- -20°C over.

- Spin down. Air dry.

- R/S in 150 ~ 200 μl FISH hybridization Buffer, c 2 ng/λ Final conc

10/521 634

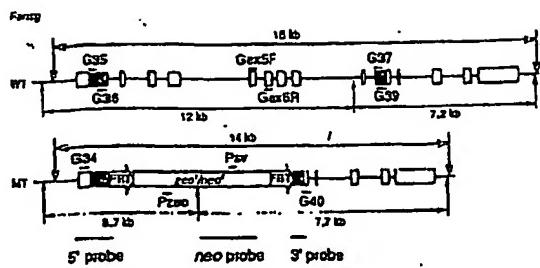
EXHIBIT 2

WO 2004/013299

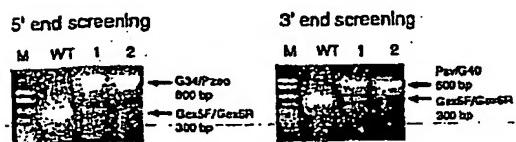
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17/22

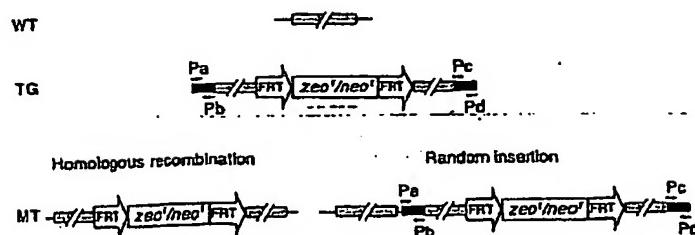
11 A



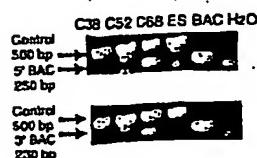
11 B



12 A



12 B



12 C

